

#3

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. (Optional) JHU1630	Application No.: 09/771,357
	Applicants: Sukumar et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: January 26, 2001	Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
Q	AA	5,786,146	07/28/98	Herman et al.			
J	AB	6,017,704	01/25/00	Herman et al.			
↓	AC	6,200,756 B1	03/13/01	Herman et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION (YES/NO)
		NONE					





OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)


Q	AD	Baylin and Herman, "DNA hypermethylation in tumorigenesis," <i>TIG</i> 16 (4):168-174 (2000)
J	AE	Ferguson, et al., "High frequency of hypermethylation at the 14-3-3 σ locus leads to gene silencing in breast cancer," <i>PNAS</i> 97 (11):6049-6054 (2000)
↓	AF	Ferguson, et al., "Demethylation of the Estrogen Receptor Gene in Estrogen Receptor-negative Breast Cancer Cells Can Reactivate Estrogen Receptor Gene Expression," <i>Cancer Research</i> 55:2279-2283 (1995)
↓	AG	Nass, et al., "Aberrant Methylation of the Estrogen Receptor and E-Cadherin 5' CpG Islands Increases with Malignant Progression in Human Breast Cancer," <i>Cancer Research</i> 60:4346-4348 (2000)

EXAMINER <i>J. Thane Soucek</i>	DATE CONSIDERED 4/30/03
---	-----------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. (Optional) JHU1630	Application No.: 09/771,357
	Applicants: Sukumar et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: January 26, 2001	Group Art Unit: Unassigned

	AH	Ottaviano, et al., "Methylation of the Estrogen Receptor Gene CpG Island Marks Loss of Estrogen Receptor Expression in Human Breast Cancer Cell," <i>Cancer Research</i> 54:2552-2555 (1994)
	AI	Raman, et al., "Compromised HOXA5 function can limit p53 expression in human breast tumours," <i>Nature</i> 405:974-976 (2000)
	AJ	Raman, et al., "HOXA5 Regulates Expression of the Progesterone Receptor," <i>The Journal of Biological Chemistry</i> 275 (34):26551-26555 (2000)
	AK	Sirchia, et al., "Evidence of epigenetic changes affecting the chromatin state of the retinoic acid receptor β 2 promoter in breast cancer cells," <i>Oncogene</i> 19:1556-1563 (2000)

EXAMINER 	DATE CONSIDERED 4/30/03
--	-----------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449